

Securities Licensing Frequently Asked Questions

Q: Which licenses does Mike Russ Schools provide training for?

A: Currently, we offer training for the Series 6 and Series 63 licenses ONLY.

Q: Is there a required course I need to complete before I can take the Series 6 or Series 63 exam?

A: No, there are no required pre-licensing courses you must complete before taking the Series 6 or Series 63 exams.

Q: How long do I need to study before I take the Series 6 and 63 exams?

A: It is the student's responsibility to schedule the exam when they feel they are ready to take it. However, most students study for about 40-60 hours before taking these exams.

Q: Do I need a sponsor to take the Series 6 or Series 63 exam?

A: Most Securities exams require you to have a sponsor to register and take the exam. Please see FINRA website for more details and sponsorship requirements: <http://www.finra.org/industry/register-new-candidate>

Q: What does the Series 6 exam cover?

A: Please see the FINRA website for exam details: <http://www.finra.org/industry/series6>

Q: What does the Series 63 exam cover?

A: Please see the FINRA website for exam details: <http://www.finra.org/industry/series63> and the NASAA website for more details: <http://www.nasaa.org/industry-resources/exams/>

Q: Do I need to purchase the textbooks that Mike Russ Schools offers to come to a live Series 6 or Series 63 class offered by Mike Russ Schools?

A: It is not required that you purchase the textbooks Mike Russ Schools offers in order to come to our live class. The live course is based off a separate powerpoint, so you do not need a textbook to follow along in the live class. However, it is recommended that you purchase a textbook from a provider (Mike Russ Schools or other) so that you have something to study from.